

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
**BOX: PATENT APPLICATION**

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 47 pages) of:

Inventor(s): **David P. Biss, Thomas G. Brown, and Kathleen S. Youngworth**

For : **AN APPARATUS FOR PRODUCTION OF INHOMOGENEOUSLY POLARIZED OPTICAL BEAMS FOR USE IN ILLUMINATION AND A METHOD THEREOF**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No. \_\_\_\_\_

Prior application information: Examiner :  
Art Unit :

Enclosed are:

- ☒ 7 sheets of informal drawings.
- ☐ Signed Combined Declaration and Power of Attorney (\_\_\_\_\_ pages).
- ☐ Copy of signed Combined Declaration and Power of Attorney (\_\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ Signed statement deleting inventor(s) named in prior application (\_\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (\_\_\_\_\_ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (\_\_\_\_\_ pages) and \_\_\_\_\_ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (**2 pages**).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

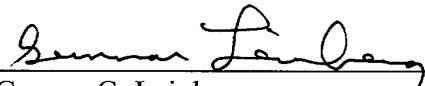
(Col. 1)		(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	87 - 20 =	67	x 9 =	\$603	OR	x 18 =	\$
INDEP CLAIMS	9 - 3 =	6	x 40 =	\$240	OR	x 80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x 135 =	\$ 0	OR	x 270 =	\$
			TOTAL	\$1198	OR	TOTAL	\$

\*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

- ☒ Please charge my Deposit Account No. **14-1138** in the amount of **\$1,198.00**. **A duplicate copy of this sheet is enclosed.**
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Gunnar G. Leinberg, Esq.  
NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603

Date: January 12, 2001

  
Gunnar G. Leinberg  
Registration No. 35,584

NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603  
Telephone: (716) 263-1014  
Facsimile: (716) 263-1600

JC825 U.S. PTO  
09/759913  
01/12/01

## EXPRESS MAIL CERTIFICATE

DOCKET NO. : 176/60930

APPLICANTS : David P. Biss, Thomas G. Brown, and Kathleen S. Youngworth

TITLE : AN APPARATUS FOR PRODUCTION OF  
INHOMOGENEOUSLY POLARIZED OPTICAL BEAMS FOR  
USE IN ILLUMINATION AND A METHOD THEREOF

Certificate is attached to the **Utility Patent Application which includes Specification, Claims, and Abstract (47 pages) and UNSIGNED Combined Declaration and Power of Attorney (2 pages)** of the above-named application.

"EXPRESS MAIL" NUMBER: **EL640135356US**

DATE OF DEPOSIT: **January 12, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

**Edwin V. Merkel**

(Typed or printed name of person mailing  
paper or fee)



(Signature of person mailing paper or fee)

JC825 U.S. PTO  
09/759913  
01/12/01

## EXPRESS MAIL CERTIFICATE

DOCKET NO. : 176/60930

APPLICANTS : David P. Biss, Thomas G. Brown, and Kathleen S. Youngworth

TITLE : AN APPARATUS FOR PRODUCTION OF  
INHOMOGENEOUSLY POLARIZED OPTICAL BEAMS FOR  
USE IN ILLUMINATION AND A METHOD THEREOF

Certificate is attached to the **Utility Patent Application Transmittal Letter**  
(2 pages) in duplicate of the above-named application.

"EXPRESS MAIL" NUMBER: EL640135356US

DATE OF DEPOSIT: January 12, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

**Edwin V. Merkel**

(Typed or printed name of person mailing  
paper or fee)



(Signature of person mailing paper or fee)